

Company/Controller

[DPA's Date-of-Receipt Stamp]

1 Transfers of Personal Data to the USA

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| 1.1 | Do you transfer personal data to the USA? | yes no |
| 1.2 | If the answer to 1.1 is "yes": Do the transfers involve customer data and/or employee data and/or other personal data? | Customer data Employee data Other data, specifically: |
| 1.3 | What are the bases for the transfers to the USA at present? | Safe Harbor EU-U.S. Privacy Shield Standard Contractual Clauses (SCCs) SCCs with additional terms/changes Individual transfer contract BCRs Consent |
| 1.4 | For transfers on the basis of Privacy Shield: How did you ascertain that the recipient of the data has a valid Privacy Shield certification? | Privacy Shield list of the US Dep't of Commerce Assurances from the data recipient |

2 Transfers of Personal Data to Other Third Countries

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| 2.1 | Do you transfer personal data to other countries outside the European Union (EU) and the European Economic Area (EEA) (besides the US)? | yes no |
| 2.2 | If the answer to 2.1 is "yes": To which countries? | |
| 2.3 | Do the transfers involve customer data and/or employee data and/or other personal data? | Customer data Employee data Other data, specifically: |
| 2.4 | What are the bases for the transfers? | Adequacy decision of the EU Commission SCCs SCCs with additional terms/changes Individual transfer contract BCRs Consent |

3 Types of Transfers to Third Countries (including the USA)

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| 3.1 | Do you transfer personal data into countries outside the EU and the EEA to controllers and/or to processors? | Controllers Processors neither |
| 3.2 | Do you transfer personal data into countries outside the EU and the EEA to companies that are part of the same corporate family to which your company | yes no |

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| | belongs (e.g. parent company and/or subsidiaries and/or affiliates)? | | |
| 3.3 | Do you utilize remote maintenance services (at least also) from countries outside the EU and EEA, in connection with which access by the service provider to personal data (e.g. customer or employee data) is possible? | yes | no |
| 3.4 | Do you receive [product] support (at least additionally) from countries outside the EU and EEA, in connection with which access by the service provider to personal data (e.g. customer or employee data) is possible? | yes | no |
| 3.5 | Do you let travel management for your employees be performed (at least also) in countries outside the EU and EEA, and do you transfer personal data to the service provider for this purpose? | yes | no To the extent that (e.g. cloud) services of external providers are used: What services? |
| 3.6 | Do you permit customer relationship management or marketing services to be performed (at least also) in countries outside the EU or EEA, and do you transfer personal data to the service provider for this purpose? | yes | no To the extent that (e.g. cloud) services of external providers are used: Which services? |
| 3.7 | Do you permit personnel recruiting, applicant or candidate management, or skill database services to be performed (at least also) in countries outside the EU and EEA, and do you transfer personal data to the service provider for this purpose? | yes | no To the extent that (e.g. cloud) services of external providers are used: Which services? |
| 3.8 | Do you use cloud storage solutions offered by external providers, in connection with which personal data are transferred to countries outside the EU and EEA? | yes | no What services are used? |
| 3.9 | Do you use communication services offered by external providers (e.g. email, newsletters), in connection with which personal data are transferred to countries outside the EU and EEA? | yes | no What services are used? |
| 3.10 | Do you use cloud office solutions offered by external providers, in connection with which personal data are transferred to countries outside the EU and EEA? | yes | no What services are used? |
| 3.11 | Do you use collaboration platforms offered by external providers (e.g. chat or messaging systems, video conferencing systems, document sharing systems, wiki-solutions), in connection with which personal data (e.g. of employees) are transferred to countries outside the EU and EEA? | yes | no What services are used? |
| 3.12 | Do you use ticketing or support systems offered by external providers to process requests from your own customers, in connection with which personal data of employees and/or customers are transferred to countries outside the EU and EEA? | yes | no What services are used? |

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| 3.13 | Do you use products in the areas of quality management, risk management, or compliance (e.g. ethics or complaint hotlines), in connection with which personal data of employees and/or customers are transferred to countries outside the EU and EEA? | yes no What services are used? |
| 3.14 | Do you use any other services offered by external providers that are not mentioned in questions 3.3 to 3.13, in connection with which you transfer personal data to countries outside the EU and EEA? If yes, please name the types of services concerned. | yes no What services are used? |

4 Internal Data Protection Officer

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| 4.1 | Does your company have an internal Data Protection Officer? | yes no |
| 4.2 | If the answer to 4.1 is "yes": Until the present, was the internal Data Protection Officer involved in evaluating the legality of international data transfers? | yes no |
| 4.3 | If the answer to 4.2 is "no": Why was he/she not involved? | |

I hereby confirm that the above information is correct:

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| Date | For Management | (if applicable) Internal Data Protection Officer |
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To the extent that answering the questions requires more space than the fields provided, we request that you please answer on additional sheet(s), indicating the question number to which the answer refers.